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Prenatal Depression and Birth Mode Sequentially Mediate Maternal Education's Influence on Infant Sleep Duration

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1 Title: Prenatal Depression and Birth Mode Sequentially Mediate Maternal Education's Influence on
2 Infant Sleep Duration

3

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27 Author Contributions

28 Drs. Kozyrskyj and Mandhane had full access to all the data in the study and takes responsibility for the
29 integrity of the data and the accuracy of the data analysis.

30 Study concept and design: Matenchuk, Mandhane, Kozyrskyj.

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47 Running head: Depression & birth mode mediate influence on sleep

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54 Abstract

55 Rationale: Sleep duration is critical to growth, learning, and immune function development in infancy.

56 Strategies to ensure that national recommendations for sleep duration in infants are met require

57 knowledge of perinatal factors that affect infant sleep.

58 Objectives: To investigate the mechanistic pathways linking maternal education and infant sleep.

59 Methods: An observational study was conducted on 619 infants whose mothers were enrolled at the

60 Edmonton site of the CHILD birth cohort. Infant sleep duration at 3 months was assessed using the Brief

61 Infant Sleep Questionnaire. Maternal education was collected via maternal report. Prenatal and postnatal

62 depression scores were obtained from the 20-item Center for Epidemiologic Studies Depression Scale

63 (CES-D). Birth records and maternal report were the source of covariate measures. Mediation analysis

64 (PROCESS v3.0) was used to examine the indirect effects of maternal education on infant sleep duration

65 mediated through prenatal depression and birth mode.

66 Measurements and Main Results: At 3 months of age, infants slept on average 14.1 hours. Lower

67 maternal education and prenatal depression were associated with significantly shorter infant sleep

68 duration. Emergency cesarean section birth was associated with 1-hour shorter sleep duration at 3 months

69 compared to vaginal birth [without intrapartum antibiotic prophylaxis] (β : -0.99 hours; 95% CI: -1.51, -

70 0.48). Thirty percent of the effect of lower maternal education on infant total sleep duration was mediated

71 sequentially through prenatal depression and birth mode (Total Indirect Effects: -0.12, 95% CI: -0.22, -

72 0.03, $p < 0.05$).

73 Conclusions: Prenatal depression and birth mode sequentially mediate the effect of maternal education on

74 infant sleep duration.

75 Keywords: pediatric sleep, maternal education, prenatal depression, birth mode, emergency caesarean

76 section

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Abbreviations

CHILD - Canadian Healthy Infant Longitudinal Development; CS - Caesarean section; HPA –
Hypothalamic-pituitary-adrenal; IAP - Intrapartum antibiotic prophylaxis; SES – Socioeconomic status

108 3.1 Introduction

109 Globally, over 50% of 3 month old infants obtain less than the recommended 14 hours of sleep
110 per 24 hour period (1,2). Sleep plays a central role in growth, neurological development, learning and
111 processing of memory, and optimal functioning of the immune system (3). In childhood, shorter sleep
112 duration is associated with cognitive deficits (4), poor school performance and increased behavioral
113 problems (5). Infants who sleep less are at risk for overweight (6) and those with frequent nocturnal
114 awakenings are more likely to develop asthma (7). Sleep problems which arise in infancy and childhood
115 tend to persist (8). In adults, short sleep duration has been linked to increased risk of mortality, diabetes
116 mellitus, hypertension, obesity and coronary heart disease (4). Poor quality sleep in adulthood is
117 identified as a pathway by which low socioeconomic status (SES) ‘gets under the skin’ to cause disease
118 (9) and conceivably, may be a missing link in the intergenerational transmission of SES inequalities in
119 health (10). Hence, infant sleep is a logical target for government and public health agencies.

120 Be it short sleep in infants or sleep problems in toddlers, there is emerging evidence of the
121 influence of family SES, including maternal educational attainment, on sleep in young children (11,12).
122 When examining the various household factors that affect infant sleep, such as parental sleep (11,13,14),
123 the upstream factor which is most likely candidate to mediate the relationship between SES and infant
124 sleep duration is maternal prenatal depression (15). Mothers in distress have sleep problems during
125 pregnancy (16), which can be ‘transmitted’ to the fetus via the maternal suprachiasmatic nucleus or
126 melatonin levels (17–19). Maternal depression also leads to elevated free cortisol levels during pregnancy
127 (20), which in turn, appear to increase infant cortisol levels in response to stress (21,22). When cortisol
128 levels are elevated, they preferentially bind to norepinephrine and glucocorticoid receptors and ultimately
129 increase sleep EEG frequency, light sleep and frequent waking, and decrease short-wave sleep via
130 stimulation of corticotropin releasing hormone (CRH) (23). Interestingly, maternal psychological health
131 also plays a role in the birth process and birth outcomes (24–26). If birth is stressful and/or leads to
132 unexpected events such as cesarean delivery, infant sleep can be impacted through newborn exposure to
133 hypothalamic pituitary adrenal (HPA) axis hormones (27), reduced mother-infant bonding (28), or

134 additional birth-associated medical interventions. There is a gap in the literature regarding the influence
135 of the birth process on infant sleep duration beyond the second postnatal day (29).

136 While maternal education status has been linked to infant sleep duration (11) and maternal
137 psychological health (30), the relatedness of these factors has not been studied nor has the birth process
138 been taken into account. Reported associations between cesarean delivery and postpartum depression (31)
139 may in fact be secondary to existing prenatal depression. Importantly, potential causes of childhood sleep
140 duration are often examined after 1 year of age, excluding the first 6 months of life when critical
141 development of the circadian rhythm, neurological function, and behavior takes place (3,19,32). We
142 examined the association between maternal educational attainment and infant sleep duration at 3 months
143 of age in the Canadian healthy Infant Longitudinal Development (CHILD) birth cohort. Second, we
144 assessed whether prenatal depression and birth mode sequentially mediated the association between
145 maternal education status and infant sleep duration. The CHILD cohort also provided a unique
146 opportunity to test independence from putative confounding factors such as colic, often a suspected cause
147 of sleep problems in infants (33) and antibiotic exposure, which has been found to induce transient
148 insomnia (34) and decrease slow wave sleep (35).

149

150 **3.2 Methods**

151 *Study Design*

152 This study involved a subsample of 619 Canadian infants from the Edmonton site of the Canadian
153 Healthy Infant Longitudinal Development (CHILD) birth cohort (<http://www.childstudy.ca>) (36). The
154 Human Research Ethics board at the University of Alberta approved this study. Written informed consent
155 was obtained from the mother at enrollment. Mothers of studied infants were enrolled during pregnancy
156 between 2008 and 2012. Data on covariates were obtained from hospital records (birth mode, gestational
157 age at birth, birth weight and direct antibiotic exposure) or standardized questionnaires (maternal age,
158 maternal race/ethnicity, household income, infant sex, breastfeeding status, weight at 3 months, and solid
159 food intake before 3 months) (see Appendix).

160 *Infant sleep at 3 months of age (outcome of interest).* Infant total sleep duration was obtained from the
161 parent self-reported BISQ (Appendix B) administered at 3 months of age (37). Parent self-report of infant
162 day (7 am until 7 pm) and night (7 pm until 7 am) sleep duration in hours and minutes were combined to
163 obtain infant total sleep duration per 24-hour period.

164 *Maternal education (exposure of interest).* Maternal education level was collected from a standardized
165 questionnaire. Mothers chose from: “1-high school or less”, “2-some university or college”, and “3-
166 university degree obtained”. Categories 1 and 2 were then combined.

167 *Depression symptoms.* Depression symptoms were measured using the 20-item Center for Epidemiologic
168 Studies Depression Scale (CES-D) (38) at 36 weeks of gestation and 6 months postpartum. Women self-
169 reported how often they experienced various depressive cognitions, affect, and behaviors during the past
170 week. Responses were given on a score ranging from 0 (None of the time; less than 1 day) to 3 (Most or
171 all of the time; 5-7 days). Responses were summed, with higher scores indicating higher depressive
172 symptoms (min=0, max=60). CES-D scores of 16 or greater represent significant risk for clinical
173 depression (39).

174 *Statistical analysis.* The Student’s t-test and ANOVA test with Tukey post-hoc test were used to examine
175 the association between maternal education level and covariates. Univariate and multivariate linear
176 regression modelling was performed with total sleep duration as the outcome and maternal education as
177 the exposure of interest. A final model was chosen using purposeful selection as described by Hosmer and
178 Lemeshow (40). Multinomial regression was used to predict having postnatal depression only, prenatal
179 depression only, or prenatal and postnatal depression together (ref: no prenatal or postnatal depression)
180 from maternal education level. Statistical significance of the difference in sleep duration according to
181 birth mode was determined by ANOVA with Tukey post hoc test. Mediation analysis was conducted
182 using the Hayes PROCESS v3.0 macro for SPSS, version 23.0 (SPSS Inc) (41). A multiple mediation
183 path model was evaluated to determine the indirect effects of sequential mediators: prenatal depression
184 (mediator 1) and birth mode (mediator 2) in the path between maternal education and infant total sleep
185 duration at 3 months of age. Bootstrapping (5000 bootstrap resamples) was used to generate to 95% CIs

186 in mediation models. Sensitivity analyses were conducted to explore the potential confounding effect of
 187 postpartum depression on the multiple mediation model.

188

189 3.3 Results

190 **Table 1.** Associations between infant and maternal characteristics, maternal education level and total
 191 sleep duration at 3 months.

	Maternal Education			Total Sleep Duration (hours/24 hours)	
	No University Degree n = 284/619 (45.89 %)	University Degree n = 335/619 (54.11%)	p-value	Observations	Mean (SD)
Maternal education, No. (%)					
No University Degree	-	-	N.A.	284	13.94 (2.20)
University Degree	-	-		335	14.36 (2.08)
Infant Characteristics					
Gestational age, No. (%)					
Below 38 weeks	28 (9.96%)	44 (13.25%)	0.357	72	14.32 (2.25)
38 to 39 weeks	138 (49.11%)	148 (44.58%)		286	14.33 (2.27)
Over 40 weeks	115 (40.93%)	140 (42.17%)		255	13.93 (1.93)
Birth weight, No. (%)					
< 2500g	6 (2.12%)	14 (4.22%)	0.332	20	14.09 (2.23)
2500-3499g	147 (51.94%)	173 (52.11%)		320	14.19 (2.23)
3500-4499g	127 (44.88%)	138 (41.57%)		265	14.14 (2.05)
> 4500g	3 (1.06%)	7 (2.11%)		10	13.95 (1.44)
Weight at 3 months, No. (%)					
< 5000g	6 (2.17%)	13 (3.93%)	0.302	19	14.26 (2.24)
5000-5999g	59 (21.38%)	73 (22.05%)		132	14.34 (2.26)
6000-7999g	185 (67.03%)	203 (61.33%)		388	14.16 (2.11)
>8000g	26 (9.42%)	42 (12.69%)		68	13.91 (2.10)
Gender, No. (%)					
Boy	150 (52.82%)	161 (48.06%)	0.259	311	14.25 (2.13)
Girl	134 (47.18%)	174 (51.94%)		308	14.09 (2.16)
Antibiotic exposure, No. (%)					
Yes	149 (53.41%)	161 (49.09%)	0.291	310	13.94 (2.16)
No	130 (46.59%)	167 (50.91%)		297	14.37 (2.10)
Birth mode, No. (%)					
Vaginal -IAP	144 (51.25%)	179 (53.92%)	0.177	323	14.39 (2.08)
Vaginal +IAP	56 (19.93%)	81 (24.40%)		137	13.98 (2.18)
Scheduled CS	36 (12.81%)	35 (10.54%)		71	14.36 (2.07)
Emergency CS	45 (16.01%)	37 (11.14%)		82	13.40 (2.20)
Breastfeeding status, No. (%)					
Exclusive	138 (48.59%)	204 (61.08%)	<0.001	342	14.26 (2.06)
Partial	79 (27.82%)	95 (28.44%)		174	14.09 (2.16)
Zero	67 (23.59%)	35 (10.48%)		102	14.00 (2.38)
Solids, No. (%)					
Yes	8 (2.92%)	7 (2.12%)	0.604	15	14.83 (1.54)
No	266 (97.08%)	323 (97.88%)		589	14.16 (2.16)
Colic, No. (%)					
Yes	43 (15.30%)	52 (15.66%)	0.911	95	13.89 (2.27)

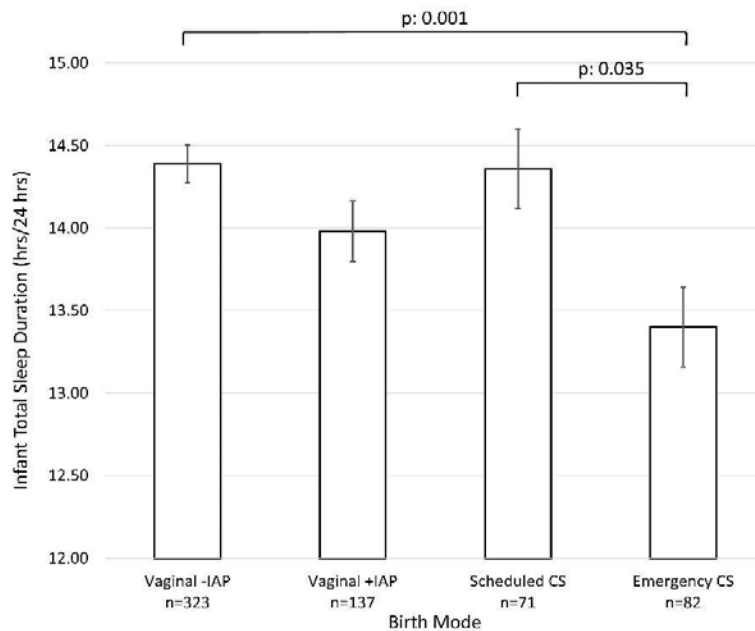
No	238 (84.70%)	280 (84.34%)		518	14.22 (2.13)
Maternal Characteristics					
Maternal age, No. (%)					
18 to 29	125 (44.01%)	60 (17.91%)		185	14.07 (2.08)
30 to 39	150 (52.82%)	259 (77.31%)	<0.001	409	14.20 (2.17)
Over 40	9 (3.17%)	16 (4.78%)		25	14.32 (2.20)
Annual household income, No. (%)					
Less than 39,999	31 (10.95%)	7 (2.09%)		38	13.86 (1.70)
40,000-79,999	83 (29.33%)	55 (16.42%)		138	14.01 (2.20)
80,000-99,999	43 (15.19%)	49 (14.63%)	<0.001	92	14.04 (2.34)
Greater than 100,000	101 (35.69%)	207 (67.79%)		308	14.41 (1.97)
Preferred not to answer	25 (8.83%)	17 (5.07%)		42	13.59 (2.76)
Maternal race, No. (%)					
White	215 (76.24%)	258 (77.01%)		473	14.09 (2.09)
Asian	18 (6.38%)	50 (14.93%)	<0.001	68	14.64 (2.46)
Other	49 (17.38%)	27 (8.06%)		76	14.21 (2.18)
Prenatal depression, No. (%)					
Yes	69 (27.27%)	41 (13.62%)		110	13.65 (2.11)
No	184 (72.73%)	260 (86.38%)	<0.001	444	14.23 (2.14)
Postnatal depression, No. (%)					
Yes	40 (17.62%)	30 (10.71%)		70	13.43 (2.54)
No	187 (82.38%)	250 (89.29%)	0.028	437	14.26 (2.03)
Maternal prenatal smoking, No. (%)					
No	256 (91.10%)	332 (99.40%)		588	14.18 (2.14)
Yes	25 (8.90%)	2 (0.60%)	<0.001	27	13.87 (1.94)
Siblings in the home, No. (%)					
No	124 (43.82%)	152 (45.65%)		270	13.70 (2.06)
Yes	159 (56.18%)	181 (54.35%)	0.685	340	14.54 (2.13)

192 Notes: -IAP: no intrapartum antibiotics; +IAP: with intrapartum antibiotics; CS: caesarean section.

193

194 In our population-based cohort of 619 mother-infant dyads, 54% of mothers had a university
 195 degree. Significant differences in maternal age, annual household income, maternal race, breastfeeding
 196 status, prenatal smoking, and pre- and post- natal depression were found between mothers with and
 197 without a university degree (See Table 1). Women with a university degree were older than those without
 198 a university degree. The majority of mothers with a university degree (67.79%) had an annual household
 199 income greater than \$100,000. Annual household income greater than \$100,000 was less prevalent when
 200 mothers did not have a university degree (35.69%). Two percent of mothers with a university degree and
 201 10.95% of mothers without a university degree had a household income below \$39,999. Mothers with a
 202 university degree were less likely to identify as a race other than white or Asian (8.1% vs 17.4%). In
 203 contrast, 6.4% of mothers without a university degree were Asian, compared to 14.9% of mothers with a

204 university degree. Exclusive breastfeeding was higher at 3 months of age in mothers with a university
 205 degree (61.1% vs 48.6%).



206

207

208 **Figure 1.** Infant total sleep duration at 3 months of age according to birth mode.

209 Note:-IAP: no intrapartum antibiotics; +IAP: with intrapartum antibiotics; CS: caesarean section.

210

211 Mean infant total sleep duration at 3 months of age was 14.2 hours (Standard deviation [SD]:

212 2.14; Table 1). The National Sleep Foundation recommends 14-17 hours of sleep in this age group (2).

213 Infants born to mothers without a university degree slept an average of 13.94 hours (SD: 2.20) compared

214 to 14.36 hours (SD: 2.08) in infants born to mothers with university degrees. Both prenatal and postnatal

215 depression were associated with significantly shorter infant sleep duration. Total sleep duration was

216 significantly different according to birth mode. Tukey post-hoc test showed that infants born by

217 emergency caesarian section (CS) slept significantly shorter than infants born vaginally without IAP or by

218 scheduled CS (Figure 1).

219

Block 1											
Gestational age at birth (<i>continuous</i>)		-0.12†	-0.24, 0.01	-0.13†	-0.26, 0.001	-0.15*	-0.28, -0.02	-0.03	-0.18, 0.12	-	-
Gender (<i>ref = female</i>)		0.16	-0.18, 0.50	0.12	-0.22, 0.46	0.09	-0.25, 0.44	0.16	-0.20, 0.52	-	-
Birth mode (<i>ref = vaginal - IAP</i>)	Vag +IAP	-0.41†	-0.83, -0.02	-0.49*	-0.92, -0.07	-0.50*	-0.93, -0.06	-0.48*	-0.93, -0.02	-0.35	-0.80, 0.09
	Scheduled CS	-0.02	-0.57, 0.52	-0.11	-0.67, 0.45	-0.09	-0.66, 0.48	-0.22	-0.81, 0.37	-0.24	-0.81, 0.33
	Emergency CS	-0.99***	-1.51, -0.48	-0.94***	-1.45, -0.42	-0.98***	-1.51, -0.44	-0.68*	-1.26, -0.10	-0.70*	-1.25, -0.15
Block 2											
Breastfeeding status (<i>ref = exclusive</i>)	Partial	-0.17	-0.56, 0.22	-	-	-0.20	-0.60, 0.21	-0.35†	-0.77, 0.07	-	-
	Zero	-0.27	-0.74, 0.21	-	-	-0.24	-0.74, 0.26	-0.30	-0.83, 0.23	-	-
Solids		0.68	-0.43, 1.78	-	-	0.89	-0.23, 2.00	0.96	-0.59, 0.43	-	-
Colic		-0.33	-0.81, 0.14	-	-	-0.40	-0.88, 0.08	-0.08	-0.59, 0.43	-	-
Maternal Characteristics											
Prenatal depression (<i>CES-D score</i>)		-0.03**	-0.06, -0.01	-	-	-	-	-0.03*	-0.06, 0.00	-0.03*	-0.05, -0.004
Maternal age (<i>ref = 30-39</i>)	18-29	-0.14	-0.51, 0.24	-	-	-	-	-0.36†	-0.78, 0.05	-	-
	40+	0.12	-0.75, 0.99	-	-	-	-	-0.46	-1.41, 0.49	-	-
Maternal race (<i>ref = white</i>)	Asian	0.55*	0.01, 1.10	-	-	-	-	0.66*	0.06, 1.25	-	-
	Other	0.12	-0.40, 0.64	-	-	-	-	0.29	-0.28, 0.87	-	-
Siblings in the home (<i>ref = no</i>)		-0.85****	0.51, 1.18	-	-	-	-	0.79***	0.41, 1.17	0.79***	0.42, 1.16
Maternal prenatal smoking		-0.31	-1.14, 0.51	-	-	-	-	0.29	-0.66, 1.24	-	-

241

242 Notes: Model 1: maternal education, gestational age at birth, gender, and birth mode. Model 2: Model 1
 243 with breastfeeding status, solids, and colic. Model 3: Model 2 with prenatal depression, maternal age, and
 244 maternal race. Model 4: maternal education, birth mode, prenatal depression, and siblings in the home
 245 [chosen by purposeful selection]. IAP: intrapartum antibiotics; CS: caesarean section. $p < 0.05^*$; $p < 0.01^{**}$;
 246 $p < 0.001^{***}$; $p < 0.0001^{****}$.

247

248 Emergency CS was associated with shorter sleep duration at 3 months of age compared to the
 249 reference group of infants born vaginally without IAP (Crude β : -0.99 hours, 95% CI: -1.51, -0.48,
 250 $p < 0.001$). Each 1-point increase in mothers' prenatal CES-D score was associated with a 0.03-hour
 251 decrease in infant sleep duration (Crude β : -0.03 hours, 95% CI: -0.06, -0.01, $p < 0.01$). Infants of Asian
 252 mothers slept on average 0.59 hours more than infants of white mothers (Crude β : 0.59 hours, 95% CI:
 253 0.05, 1.13, $p < 0.05$).

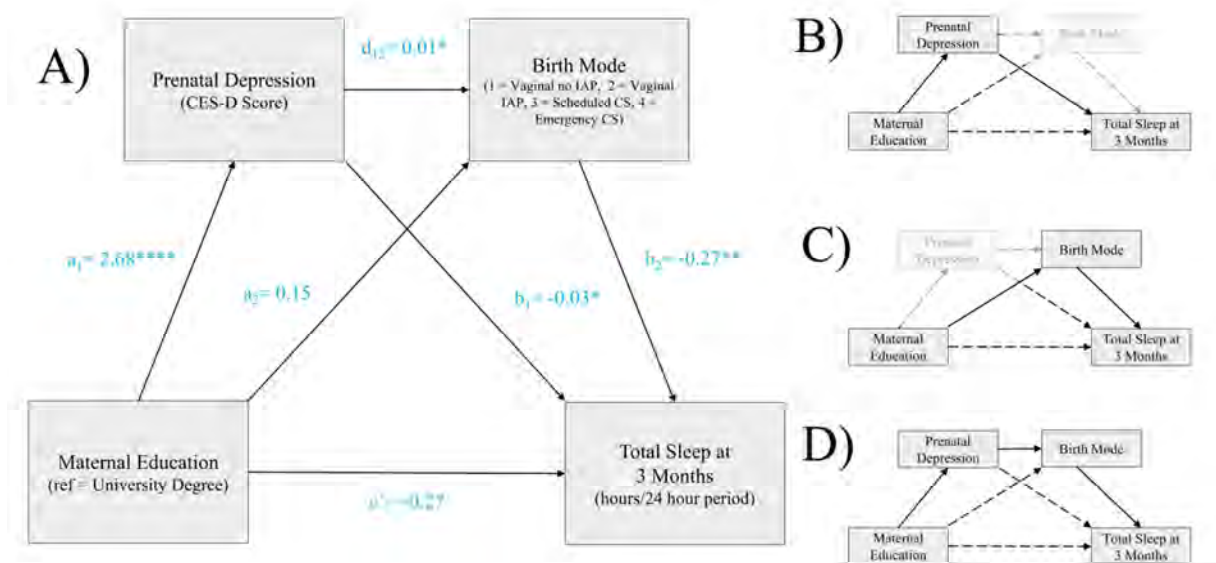
254 Purposeful selection resulted in the inclusion of birth mode, prenatal depression (CES-D score)
 255 and siblings in the home in the regression model predicting infant sleep duration (Model 4). Maternal
 256 education was included as an exposure of interest. Emergency CS (β : -0.70 hours, 95% CI: -1.25, -0.15,
 257 $p < 0.05$; Model 4), prenatal depression (CES-D score) (β : -0.03 hours, 95% CI: -0.05, -0.004, $p < 0.05$) and
 258 siblings in the home (β : 0.79 hours, 95% CI: 0.42, 1.16, $p < 0.001$), significantly contributed to the

259 prediction of infant sleep duration. Maternal education did not contribute to the model predicting infant
260 sleep duration (β : -0.29 hours, 95% CI: -0.65, 0.06, p =NS) when adjusting for birth mode, prenatal
261 depression and siblings in the home. Interactions between maternal education, prenatal depression, birth
262 mode and siblings in the home did not significantly contribute to the model.

263

264 **Prenatal depression and birth mode sequentially mediate the relationship between maternal**
265 **education level and infant sleep duration.**

266 Regression analysis was used to investigate the hypothesis that prenatal depression and birth
267 mode sequentially mediate the effect of maternal education on infant total sleep duration (Figure 2).
268 Lower maternal education was a significant predictor of prenatal depression (CES-D score) (β =2.68,
269 SE=0.63, p <0.0001; Online Supplement Table E1). Furthermore, prenatal depression (CES-D score)
270 (β =0.01, SE=0.01, p =0.05), but not lower maternal education (β =0.15, SE=0.09, p =0.11) was a significant
271 predictor of birth mode (classified as 1 = vaginal no IAP, 2 = vaginal IAP, 3 = scheduled CS, and 4 =
272 emergency CS) when modelled concurrently. When evaluated in regression analysis together, prenatal
273 depression (CES-D score) (β =-0.03, SE=0.01, p =0.04) and birth mode (β =-0.27, SE=0.08, p <0.01) but not
274 maternal education (β =-0.27, SE=0.18, p =0.15) predicted infant total sleep duration.



275
 276 **Figure 2.** Sequential mediation model of associations between maternal education, prenatal depression,
 277 birth mode, and infant sleep duration. -IAP: no intrapartum antibiotics; +IAP: with intrapartum
 278 antibiotics; CS: caesarean section. $p < 0.1$ †; $p < 0.05$ *; $p < 0.01$ **; $p < 0.001$ ***; $p < 0.0001$ ****.

279
 280 Prenatal depression and birth mode sequentially mediate the relationship between maternal
 281 education and infant sleep duration. Following sequential mediation, the direct association of lower
 282 maternal education with infant total sleep duration (path c') was no longer significant (Effect: -0.27, 95%
 283 CI: -0.63, 0.09, $p = 0.15$; Table 3); however, the total indirect effects of lower maternal education on infant
 284 total sleep duration mediated sequentially through prenatal depression and birth mode were significant
 285 (Effect: -0.12, 95% CI: -0.22, -0.03, $p < 0.05$). Combined, the direct and indirect effects of lower maternal
 286 education on infant sleep duration were significant (Effect: -0.38, 95% CI: -0.74, -0.03, $p < 0.05$). Eighteen
 287 percent of the effect of lower maternal education on infant total sleep duration was mediated through
 288 prenatal depression alone (Effect: -0.07, 95% CI: -0.15, -0.01, $p < 0.05$). The indirect effect of maternal
 289 education through birth mode alone was not significant (Effect: -0.04, 95% CI: -0.11, 0.01, $p = \text{NS}$). The
 290 effect of lower maternal education on infant total sleep duration (2.3% of the total effect) was mediated

291 sequentially through prenatal depression and birth mode directly (Effect: -0.01, 95% CI: -0.02, -0.0004,
 292 $p < 0.05$). These associations were robust to sensitivity analyses for imputed missing values. Due to the
 293 high correlation between prenatal and postnatal CES-D scores, sequential mediation of the relationship
 294 between maternal education and infant sleep duration through prenatal and subsequently postnatal
 295 depression, as well as postnatal depression alone, was explored. Postnatal CES-D score was not found to
 296 mediate the relationship between maternal education and infant sleep duration with prenatal CES-D score
 297 or on its own.

298

299 **Table 3.** Breakdown of direct and indirect effects of maternal education on infant sleep duration at 3
 300 months of age through prenatal depression (CES-D score) and birth mode.

	% Effect Explained	Effect	SE	p	95% CI
A) Total effect of maternal education (indirect + direct effects)	100%	-0.38*	0.18	0.04	-0.74, -0.03
B) Indirect effect 1 Maternal education → prenatal depression → sleep duration	17.7%	-0.07*	0.03	<0.05	-0.15, -0.01
C) Indirect effect 2 Maternal education → birth mode → sleep duration	10.5%	-0.04	0.03	NS	-0.11, 0.01
D) Indirect effect 3 Maternal education → prenatal depression → birth mode → sleep duration	2.3%	-0.01*	0.006	<0.05	-0.02, -0.0004
Total indirect effects (1 + 2 + 3)	30.4%	-0.12*	0.05	<0.05	-0.22, -0.03
Direct effect of maternal education	69.5%	-0.27	0.18	NS	-0.63, 0.09

301 Notes: $p < 0.05^*$.

302

303 3.4 Discussion

304 In our general population cohort of infants from an urban center in Canada, 38% of infants slept
 305 less than the recommended 14 hours per day; lower than global estimates of infant short sleep at 3 months
 306 of age (1). Infants born to mothers with a university degree slept an average of 0.42 hours longer than
 307 infants of mothers without a university degree. The association between maternal level of education and

308 infant sleep duration persisted following adjustment for infant factors but diminished with additional
309 adjustment for maternal characteristics, notably maternal prenatal depression (14). Further, we found that
310 birth mode independently predicted infant sleep duration, with infants delivered by emergency cesarean
311 sleeping approximately one hour less than infants born by vaginal birth. When combined, we found that
312 maternal prenatal depression status and birth mode jointly mediated the association between maternal
313 level of education and infant sleep duration. Previously, prenatal depression was found to be associated
314 with shorter sleep duration in 1-2 year olds independent of household SES, and postnatal depressive
315 symptoms in caregivers reported to influence the relationship between family demographics and sleep
316 problems in toddlers (11,42). Our study is the first to suggest that prenatal depression has the capacity to
317 mediate the relationship between maternal education level and infant sleep in the 3 months of age. Almost
318 one-third of the indirect effect of maternal education was mediated through the joint action of prenatal
319 depression and emergency cesarean.

320 The additional novelty of our study is the reduction in infant sleep three months after emergency
321 cesarean delivery; this was not observed with scheduled cesarean or in vaginal deliveries with maternal
322 antibiotic prophylaxis. Compared to vaginal delivery, both emergency and scheduled cesarean delivery
323 have been shown to reduce active sleep in newborns on the first but not second postnatal day; however,
324 an observed lack of diurnal rhythms in infant sleep/wakefulness with both surgical groups seems to
325 persist (29). Netsi et al did not find an association between birth mode and sleep duration at age 3 months
326 in a Brazilian cohort, in which many of the cesarean births would have been scheduled (43). One aspect
327 of modern birth, the induction and augmentation of labour using synthetic oxytocin, is very common in
328 birth by emergency cesarean (44). In animal studies, synthetic oxytocin increases wakefulness (45),
329 hypothesized to occur due to oxytocin's influence on the HPA axis through an excitatory action on CRH
330 (23,46,47). Upregulation of the CRH system has been implicated in the impairment of sleep quality in
331 both human and animal studies (46).

332 Furthermore, emergency cesarean co-mediated with prenatal depression, the association between
333 maternal SES and infant sleep. Little is known about the maternal physiological impact of emergency CS

334 on the infant (48). Unexpected cesarean delivery can be a traumatic birth experience for the mother (49),
335 interfering with parenting behaviours that promote self-soothing in the infant and longer sleep duration
336 (50). Interestingly, both maternal depression during pregnancy and emergency cesarean birth have the
337 capacity to disrupt development of the infant HPA axis and alter regulation of circadian rhythm (27,32).
338 Smith et al. found that infants born by emergency but not scheduled cesarean, had higher levels of free
339 cortisol in umbilical cord blood samples than vaginally born infants (27). Elevated cortisol levels can
340 increase CRH, which are associated with reduced sleep quality (23). However, due to the development of
341 the circadian clock genes and HPA axis during late gestation and early infancy, elevated cortisol at birth
342 may have a lasting effect on the programming of these systems (32). Furthermore, infants born by
343 emergency but not scheduled cesarean, have been found to have elevated C-reactive protein in the cord
344 blood following birth (51). The administration of pro-inflammatory cytokines in animal studies promotes
345 non-REM sleep, which is more common after sleep deprivation (52). Lastly, infants born by emergency
346 cesarean are also more likely to exhibit gut microbial dysbiosis than infants born vaginally or by
347 scheduled CS (53), compositional changes that may ultimately alter circadian rhythm and sleep patterns
348 (54).

349 Our results also support the thesis that prenatal depression influences infant sleep through a fetal
350 programming pathway (15). Infants born to mothers with prenatal depression slept on average 0.56 hours
351 shorter than infants born to mothers without prenatal depression. The prenatal stress model, which is an
352 approximate animal model of stress and depression in pregnancy, results in prolonged corticosterone
353 production after acute stress and reduced expression of glucocorticoids in the hippocampus in adult
354 offspring (32). As a result, infants of mothers with prenatal depression may have an exaggerated stress-
355 response which negatively impacts their sleep duration after birth. Prenatal depression is strongly linked
356 to low SES (55); stressful life events during pregnancy and concern over finances have both been
357 associated with frequent nocturnal awakening in toddlers (7). In our study, women without a university
358 degree were much more likely to experience prenatal depression with or without postnatal depression but
359 not postnatal depression without prenatal depression.

360

361 **Strengths and Limitations**

362 Our study has several strengths, including the ability to investigate birth mode in greater detail than
363 previously examined in a birth cohort with a representative and large sample size. Also, the universal
364 healthcare context of the Canadian populace provides an opportunity to study SES independent of
365 accessibility to prenatal care healthcare (56). Limitations of this study include the unavailability of
366 measures on maternal prenatal sleep, parenting behaviour and depressive symptoms in the postpartum
367 period prior to 6 months.

368

369 **3.5 Conclusions**

370 Socioeconomic factors in early life have a strong influence on virtually all aspects of early human
371 development (57). In our general population cohort from the CHILD study, infant sleep duration at 3
372 months of age was predicted by maternal education level, prenatal depression and birth mode. The
373 maternal educational association with infant sleep was sequentially mediated by prenatal depression and
374 birth mode. Our study provides evidence for a prenatal-birth pathway by which parental SES can impact
375 infant sleep. Mothers who experience prenatal depression or emergency cesarean birth may benefit from
376 advice on parenting style and infant stimulus control to increase infant sleep duration (50), so that these
377 problems do not persist in childhood. While we are at an early stage to discern the underlying biologic
378 mechanisms, this study identifies prenatal depression and birth mode as targets for policy makers to
379 improve infant sleep duration. Future work is required to determine if the impact of these exposures is
380 mediated by oxytocin administration, cortisol level, maternal sleep, postpartum depression or parental
381 behaviours.

382

383

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387 laboratory technicians, clerical workers, research scientists, volunteers, managers, receptionists and
388 nurses.

389 3.7 Appendix

390 **Covariate Measures**

391 *Birth mode.* Birth mode was collected from maternal hospital records. Birth mode was categorized a
392 vaginal birth without intrapartum antibiotic prophylaxis (IAP), vaginal birth with IAP, scheduled
393 caesarean section (CS) and emergency CS.

394 *Colic.* Infant colic status was determined from parent-reported infant medication questionnaires. Infants
395 were classified as having colic before 3 months of age if they were taking a medication indicated for the
396 treatment of colic or if colic was listed as the reason for taking a medication.

397 *Breastfeeding status.* Infant feeding status was collected from parental report at 3 months of age.
398 Breastfeeding status was categorized as exclusive (breast milk only following hospital discharge from 0-3
399 months), partial (both breastmilk and formula consumed from 0-3 months), and formula (formula only
400 from 0-3 months).

401 *Household income.* Household income was collected from maternal report at 18-36 weeks gestational age
402 and was categorized as: 1) less than or equal to 39,999; 2) 40,000 to 79,999; 3) 80,000 to 99,999; 4)
403 100,000 or greater; 5) prefer not to answer.

404 *Maternal race/ethnicity.* Maternal race/ethnicity was collected from maternal report at 18-36 weeks
405 gestational age. For the purposes of this study, maternal race was categorized as Caucasian, Asian (East
406 Asian, South Asian and South East Asian) or other (Black, Hispanic, Middle Eastern and First Nations).

407

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568

ACCEPTED MANUSCRIPT

Prenatal Depression and Birth Mode Sequentially Mediate Influence of Maternal Education on Infant Sleep Duration



Highlights:

- Mean sleep duration at 3 months of age was 14.1 hours in 619 infants in Canada
- Maternal education & prenatal depression were associated with infant sleep duration
- Emergency cesarean section babies slept 1-hour less than those born vaginally
- Prenatal depression & birth mode mediate maternal education impact on infant sleep